Developing a university learning, teaching and research framework through practice conversations

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Abstract

Purpose – This project engaged faculty, students, alumni and staff in re-visioning their university's learning, teaching and research framework. An extensive consultation process allowed participants to explore, discuss and critically reflect on effective practice.

Design/methodology/approach – This action research project provided a process for university community members to engage in practice conversations. In phase 1, focus groups and campus community discussions elicited the diverse perspectives of the community. The design-thinking process of discovery, ideation and prototyping aligned with the action research cycles to help a working group create a learning and teaching framework prototype based on the findings. In the second phase, surveys were used to elicit community members' responses to the prototype, which was then refined.

Findings – The prototype was organized into three overarching categories, each containing several attributes. The attributes of the "Applied and Authentic" category were: interdisciplinary and transdisciplinary; experiential and participatory; flexible and individualized; outcomes based; and openly practiced. The attributes of the "Caring and Community-Based" category were: inclusive and diverse; community-based; supportive; team-based; co-creative; and place and virtual space-based. The attributes of the "Transformational" category were socially innovative; respectful of Indigenous peoples and traditions; impactful; and reflective.

Originality/value – This article should interest higher education institutions seeking to engage faculty, staff, students and others in practice conversations to develop a learning, teaching and research strategy. This research demonstrated that fostering practice conversations among diverse community members can be a powerful process for creating a common and integrated vision of excellent learning, teaching and research practice.

Keywords Action research, Design principles, Practice conversations, Research in practice, Learning%2C teaching and research framework

Paper type Research paper

Introduction

Engaging faculty and other stakeholders in systematic dialog about teaching and learning practices can enhance an institution's practice and promote "better understanding between different layers of the institution" (Stensaker *et al.*, 2017, p. 13). This article describes an action research project that fostered systematic dialog among educational practitioners. Kemmis (2012) terms such practitioner conversations "research in educational praxis", since they involve practitioners who understand their practice from inside their experience (p. 896). By



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Journal of Applied Research in Higher Education © Emerald Publishing Limited 2050-7003 DOI 10.1108/JARHE-11-2020-0380 fostering practice conversations, this project meaningfully engaged Royal Roads University's (RRU) community members to review and revise its learning and teaching framework. This article describes the research process and the characteristics of several learning and teaching frameworks as well as the pertinent action research literature. It concludes with an overview of the outcomes, which are presented as the learning, teaching and research model. This article describes a process that creates a common and integrated vision of excellent learning, teaching and research practice. This process can be adapted and applied in other institutions.

Since its inception in 1995, RRU, a small Canadian public research university, has worked to differentiate its approach to learning and teaching. However, what characterized teaching and learning at RRU was not systematically explored and documented until the development of the learning and teaching model (LTM) (Hamilton *et al.*, 2013). The LTM "[a]rticulated a common and institutional understanding of the unique mix of history, learning approaches, curriculum, teaching strategies, and educational practices that give rise to a particular institutional identity" (Hamilton *et al.*, 2016, p. 18). Its success and adoption by the university community laid the groundwork for the research project described in this article.

This project was the result of faculty and staff members' requests to revisit and update the LTM. RRU's learning and teaching practice had evolved, and a new learning and teaching framework was needed to provide direction in the context of rapid changes in educational technology and the higher education landscape. The project aimed to benefit from the university community's knowledge and passion for learning and teaching. This project also allowed those who had joined RRU since the LTM had been completed, many of whom had been attracted to the university for its distinctive learning and teaching approach, the opportunity to reshape it.

Learning and teaching frameworks

Learning and teaching frameworks are referred to by many names, such as plans (Bozalek and Dison, 2013), strategies (Abertay University, 2015), guidelines (University of New South Wales, 2014), guiding principles (Chang, 2008), action plans (Queens University, 2014) and frameworks (Carleton University, 2014; University of Calgary, 2014). While each has a unique focus, they invite faculty to reflect on their teaching practice and engage in conversations on the teaching values that define their work at an institutional level (Harris *et al.*, 2019).

Learning and teaching frameworks can be outwardly focused to create a positive external perception. For example, some institutions use their frameworks to promote their institutional values and differentiators (Abertay University, 2015; Bük *et al.*, 2017; Carleton University, 2014). Others use them to profile the value that the organization places on the teaching role (Bozalek and Dison, 2013).

Learning and teaching frameworks may have a predominantly internal focus, which specifically benefits staff, faculty and students. For example, several institutions identify a key focus for the implementation of their frameworks as supporting teaching excellence (Abertay University, 2019; Mount Royal University, 2019; Steel and Jeffreys, 2006; University of Calgary, 2011), with faculty training, professional development and new faculty orientation stated as activities that aid in the achievement of this purpose (Baik *et al.*, 2018; University of Melbourne, n.d.; University of New South Wales, 2019). Curriculum reform is another internal purpose (Robertson, 2016), which adds credibility to the role of teaching and learning (Bozalek and Dison, 2013). Brew (2010) discussed the benefits of compiling a database of best practices in teaching, referring to the advantages achieved by linking research to teaching, for example, increased student awareness of research, as well as providing opportunities for faculty and staff to profile their research. However, the benefits to students extend beyond their awareness of research and include supporting student success by creating an environment that encourages enhanced engagement (Carleton University,

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2014; Looker, 2005), student interaction (Chang, 2008) and promoting interdisciplinary teaching practices (University of Melbourne, 2007; University of Sydney, 2016). Furthermore, some institutions offer guidelines to provide faculty with an understanding of student attributes, as well as how to approach the development and implementation of learning outcomes (University of the Western Cape, n.d.).

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RRU's framework, the LTRM, is externally focused, communicating what differentiates its learning, teaching, and research, and inwardly focused, documenting the results of the broader community consultations.

Action research design

While stakeholder consultation is often a part of developing strategic documents, the action research design of this project added substantial rigor to the process, providing a foundation of established and tested research tradition, with documented features, principles, ethics and protocols. As a formal action research project, stakeholders' roles moved beyond providing input to that of research "co-definers, co-designers and co-implementors (Bradbury, 2015, p. 2).

An action research approach aligned with the aims of the project: (1) to address an opportunity significant to stakeholders; (2) to benefit from their experiences, knowledge and passion to ensure ownership and fit; (3) to promote social learning through dialog and, (4) to create infrastructure (Bradbury and Reason, 2003, p. 155), specifically a new LTM. Action researchers necessarily work with practitioners because action research takes place in a context of practice (Bradbury-Huang, 2010). This "insider action research takes place in a context of practice (Bradbury-Huang, 2010). This "insider action research" project (Coghlan and Shani, 2015, p. 47) took place with practitioners in a university context of teaching and learning practice. It promoted dialog among practitioners, the sharing of experience and knowledge, and critical reflection on concepts, practices, values and emerging trends in higher education. Dialog about what participants valued in the organizations and their own teaching and learning practice facilitated the learning of other practitioners (Coghlan and Brannick, 2010). These conversations also encompassed praxis, defined as "educational action" of benefit, "informed by traditions in the field" and "with moral, social, and political consequences – good or bad – for those involved and affected by it" (Edwards-Groves *et al.*, 2018, p. 12).

Kemmis (2010) argues that:

Practice/praxis is only researchable "from within", by people whose practice it is, either as individuals reflecting on their own practice with a view to transforming it, or as collectivities like communities that constitute a profession with a view of transforming it. (p. 18)

As researchers, we place ourselves firmly in the camp of "research in [rather than on] educational praxis" (Kemmis, 2012, p. 896). However, because many stakeholders had direct involvement in the creation of a holistic student experience, we extended the exclusive focus from faculty practice/praxis research, to also include support staff, contract faculty, students, alumni, advisory councils and executive. Because we aimed to tap into the collective energy, passion and expertise within the wider community, they needed to be included and empowered to contribute. The inclusive, participative and collaborative design of this project was also important to creating a sense of shared or "front-line ownership" (Zimmerman *et al.*, 2013).

"Action research is a pragmatic co-creation of knowing *with*, not *about*, people" (Bradbury, 2015, p. 1, author's emphasis). This project was initiated in response to calls by university community members to engage in a process to review and revise an existing LTM, to engage in a co-creation with the people who lived it in practice. Its cyclical and iterative design ensured numerous opportunities to contribute meaningfully and fully at every stage of the research (Ivankova, 2015) and to participate in an emergent meaning-making process within

the complex system of the multiple stakeholder groups within the organization. As Lichtenstein (2015) states:

> ... the drivers for organizational emergence are *aspiration* and *passion* —the vision and enactment of a new idea that can lift the organization to a new level, to create more value than it currently does. The origin of emergence is a potentiality, a spark of creativity, an open-ended possibility that can be enacted in myriad ways. (p. 451)

In designing a co-creative, inclusive and iterative process, we aimed to benefit from the passion of the practitioners for their practice, their aspirations for themselves as educators and for the organization and to engender that spark of creativity that emerges when passionate and committed practitioners talk about possibilities.

Silverman's (2015) concept of "designerly ways as practical skills for action research skills" (p. 717) helped the researchers to conceive of this project as a process of iterative prototyping and testing. The project design incorporated three iterative action research cycles of observation, reflection and action (Stringer, 2014, p. 9), each aimed at providing opportunities to gain diverse perspectives of RRU community members and to maximize opportunities for ongoing engagement and feedback from members of the RRU community. The design principles of discovery, ideation and prototyping, followed by iterative cycles of reviewing and refining the prototype (Silverman, 2015, p. 718) aligned with the action research cycles and allowed the team to prototype and refine the model (Table 1).

Methods

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The action research took place over two years and was led by a working group of 10 faculty and staff who were involved in planning, data collection and analysis and prototyping. The first consultation activity was a Maker Day (Crichton and Carter, 2015), an immersive, interactive design-thinking activity which called on participants to design and build a visual metaphor for learning and teaching at RRU. Forty-eight RRU staff and faculty participated. Their metaphors were photographed, and flip charts notes recorded and summarized key ideas in a debrief conversation.

Subsequent focus groups were held to gain a deeper understanding of community members' perspectives on and experience with effective learning and teaching practices. Multiple focus groups were conducted with: (1) faculty; (2) faculty who teach international students; (3) faculty and staff discussing research; (4) staff; (5) student services staff; (6) students; and (7) alumni. The research focus groups led to research receiving a more prominent place in the model and the name change to the Learning, Teaching and Research Model.

| | Phase | Activity | Action research cycle | Design stages |
|--|-------|--|---|--|
| Table 1. LTRM design as action research cycles and design-thinking stages | 1 | Consultations, focus groups, data gathering Working group data analysis meetings | Observation Reflection | Discovery or data gathering Ideation, generating ideas or insights from the data |
| | 2 | Draft model Circulate draft for feedback Analyze feedback Revise and refine | Action Observation Reflection Action | Create prototype Iterative model building |
| | 3 | Circulate revise and refine feedback, revise and refine | Observation Refection Action | Iterative model building |

Other sources of data were also reviewed: feedback from school advisory councils and university executive; data from existing student and alumni surveys; brainstorming and feedback discussions at several campus-wide activities; and interviews with faculty members with specialist knowledge.

The LTRM project used an open data strategy, whereby anonymized data notes and summaries were made accessible to the RRU community using a WordPress site. Community members were encouraged to access this site and provide feedback. This engagement strategy allowed members of the community to continue to make meaning of and share their learning.

Data analysis and prototype building

In phase 1, a working group member conducted initial coding of the raw data using NVivo 11 to identify emergent themes. This member created data summary notes and placed them on the LTRM WordPress site for review and comment by the broader community. The initial codes, raw data and summary notes of focus groups were also individually reviewed by all working group members, who then met in a series of workshop sessions to review the data and come to agreement on the overarching themes and the elements that needed to be included in a prototype.

Drafting a visual prototype which best organized and presented the themes and elements in the data were the most challenging and time-consuming part of the process. Over several meetings, the working group drafted three prototypes. The merits and drawbacks of each was exhaustively debated before the Working Group finally came to consensus on the LTRM prototype that best organized and represented the themes identified in the data.

Phase 2 of the action research cycle (Table 1) was to verify that the working group's interpretation of the data and the resonance of the prototype with university community members. The working group circulated a graphic of the prototype and solicited feedback by survey from all members of the university community. The survey results were analyzed, and the results were used to revise and refine the prototype and create the current LTRM model (Phase 3 of the action research cycle). The comprehensive consultation across multiple stakeholder groups rapidly led to data saturation, with themes repeating across the data sets. The feedback we received on the prototype reinforced the trustworthiness of our findings.

Findings

The prototype presented three overarching themes (which we called core value categories): (1) applied and authentic; (2) caring and community-based; and, (3) transformational. Each category contained four to six specific attributes which further defined the categories (Table 2).

| Applied and authentic | Caring and commu based | ity- Transformational | |
|--|--|--|---|
| Interdisciplinary and transdisciplinary Experiential and participatory Flexible and individualized Outcomes based Openly practiced | Inclusive and Community-b learning Supportive Team-based Co-creative Place- and vin space-based | (2) Respectful of Indigenous Peoples and traditions (3) Impactful (4) Reflective | Table 2.From cultivatingchange leaders for abetter world: Thelearning teaching andresearch model (2019) |

A framework through practice conversations The next section describes the core value categories, associated attributes and includes illustrative quotations from the data. Table 3 lists the source of these quotations and the abbreviations used to identify the source of these in the data.

Applied and authentic

The core value category "Applied and authentic" describes learning, teaching and research practices that aim to have positive impacts on the real situations. This category refers to students learning through working with real-world issues, rather than learning about something. These often messy and ill-defined issues take place in communities, workplaces, or in regional or global settings. This category also applies to learning in workplace or community settings. Similarly, research aims to create practical and positive outcomes in the real world. Five attributes identified under this broad category included: (1) interdisciplinary and transdisciplinary; (2) experiential and participatory; (3) flexible and individualized; (4) outcomes based and (5) openly practiced.

Interdisciplinary and transdisciplinary. Staff commented that this attribute applies across programs rather than just in specific programs. It "allows for an environment of divergent thinkers which then facilitates deeper understanding of current and relevant topics, and also supports students as they learn to approach complex problems with a critical lens" (Staff FG).

Experiential and participatory. This attribute speaks to facilitating a learning environment and processes that foster students' active participation in knowledge construction through examining real-world challenges and applying theory and research to problem-solving, "RRU is about experiential learning informed by research and enabled by practice" (AC Feedback).

Flexible and individualized. This attribute is enacted in two ways. First, it allows nontraditional applicants, for example, someone without an undergraduate degree required for standard admission into master-level programs, but with related learning through work

| | Phase | Activity | Participants/Topic | Data | Source notation |
|---|------------|-----------------------------------|---|--|---|
| | 1 | Maker Day | Faculty, staff, alumni | Photographs, flip chart summary notes | Maker Day |
| | | LTRM campus-wide activity | Faculty and staff | Padlet notes | LTRM activity |
| | | Focus Groups | Faculty and staff discussing Research | Flip chart summary notes | Research FG |
| | | | Faculty Staff Students Alumni Student services staff Faculty and Staff | Padlet comments | Faculty FG Staff FG Student FG Alumni FG SS FG LTRM Session |
| | 1 and 2 | Presentation and feedback session | School advisory councils | Comments sent to the working group | AC feedback |
| | | Consultation | Student | Survey responses | Student Survey |
| | 2 | Prototype survey | Alumni | Survey responses | Alumni Survey |
| Table 3. Data collection activity and abbreviations | | | Staff Contract faculty | Survey responses Survey responses | Staff Survey Faculty Survey |

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experience, to enter a program. For example, one student stated that "If it were not for flexible admissions, I could never have had this experience" (Student FG). Second, this attribute recognizes student demand for the ability to customize learning to fit with their needs. Programs are collaborating across faculties and schools to provide more optional specializations and electives to build capacity for students to adapt learning to meet their needs.

Outcomes based. Learning outcomes identify exactly what a student should be able to do as a result of the learning. As one participant observed, outcomes based "assessments are based on authentic activities and outcome-based curricula ensure engaged and well-focused design of learning experiences" (Alumni FG).

Openly practiced. This attribute refers to the social learning aspect of RRU curricula, the growing trend of using open and participatory approaches to facilitate working together and the use of open resources and open research to increase access and equity. An example of this is the creation of the first zero-textbook cost (ZTC) master's program in Canada.

Caring and community-based

Caring "plac[es] the human at the center and intentionally building relationships based on trust and respect" (Harris *et al.*, 2019, p. 17). The result is a "warm, and inclusive culture" (Faculty FG) grounded in relationships built on respect, trust, "caring and community" (Alumni FG). Caring includes building a safe and inclusive environment that supports students, faculty, alumni and staff to learn and grow. Staff observed, "we live it, creating a respectful, inclusive, and welcoming atmosphere" (Staff FG). Caring was extended to the local and global communities by many participants who recognized the intentional efforts of RRU to "make positive changes in the world, [and] using the actual process of learning to affect change" (Staff FG). Others observed similarly that "RRU research starts with a social problem and draws on appropriate different approaches (inter/trans) to solve. The environment here allows us to do that" (Research FG). Six attributes were identified under this core value category: (1) inclusive and diverse; (2) community-based learning; (3) supportive; (4) teambased; (5) co-creative and (6) place- and virtual space-based.

Inclusive and diverse. This attribute refers to learning environments in which diverse backgrounds, experiences and perspectives are valued irrespective of race and ethnicity, gender, sexual orientation and different abilities. Such environments are evidence of a "warm and inclusive culture" (Faculty FG). Faculty, students and staff saw the importance of learning environments that encourage the exploration of multiple and diverse perspectives. In RRU research, it refers to meaningful engagement of communities in and dialog about relevant community-based issues, which characterize "collaborative, empowering, relational research and teaching. Doing research with people in a collaborative way" (Research FG).

Community-based learning. A safe community grounded in mutually beneficial relationships is at the core of this attribute. A student observed, "This was by far the most supportive academic community I have ever been a part of" (Student Survey). This mutually supportive community focus is fostered through the work of faculty and staff in their teaching, learning and support work. It is demonstrated by the research conducted at RRU, including the role of community in conceptualizing a research topic.

Supportive. This attribute captures a learning environment and the shared responsibility for engagement, guidance and feedback taken up by faculty, staff, learners and researchers. Many participants from a variety of stakeholder groups saw the creation of safe and inclusive learning environments and a supportive approach as an essential characteristic of RRU.

Team-based. Skills and competencies in collaboration, effective teamwork and the appreciation and inclusion of multiple perspectives characterize this attribute. While students spoke of teamwork as a "challenging process", they also saw its value. "Learning is accelerated in a team environment" (Student FG). Teamwork is woven throughout the

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curricula, and support is provided for working in teams. In addition, several students noted the positive impact of working on diverse teams, stating "working closely with many people of varying ages, experience, and views helped me to balance my understanding" (Student Survey). The interaction of faculty, staff and students in interdisciplinary teams provides multiple perspectives to real-world learning challenges.

Co-creative. This attribute recognizes and draws on the experiences and knowledge of all who are part of the teaching, learning and research endeavors. The "teamwork and co-creation [of learning experiences] is already a differentiator for RRU" (Alumni Survey).

Place-based and virtual space-based. This attribute recognizes that teaching, learning and research takes place at the physical campus location as well as the virtual campus and supporting virtual environments in which students, staff and faculty do their work. One participant stated, "I appreciate the revised section . . . 'place/virtual space', because I think this reflects the ways in which we create community through physical and digital spaces" (Staff Survey). Community and relationships are built, enhanced and sustained in both physical and virtual environments.

Transformational

The third core value category identified was "Transformational", which is defined as seeking "to develop in students a socially innovative mindset capable of generating systemic, sustainable, creative solutions to social challenges and changes, including challenges related to the environment, education, health, and business" (Harris *et al.*, 2019, p. 20). One participant captured the centrality of transformation, noting, "as the world transforms, we must all be prepared for a lifetime of transformative change" (AC Feedback). A student observed that "a transformational learning process . . . provided space for people to grow and reinforce their learning" (Student FG). A faculty member described the connection between transformation and real-world problems, saying "We live it. There is demonstration of 'being, knowing, relating, transforming', and addressing real world problems" (Faculty FG).

Four attributes identified under this broad category included: (1) socially innovative; (2) respectful of Indigenous peoples and traditions; (3) impactful and (4) reflective.

Socially innovative

Participants identified the value of connecting the practical with the theoretical, stating "the role of social innovation, real-world problem solving, and the use of scholar-practitioners who can provide the context and 'reality check' for learners" (Faculty Survey). Students described "an immersive and transformative quality in the experience of engaging in practical action research" (Student FG), which enhanced their learning experience.

Respectful of indigenous peoples and traditions

This attribute speaks to the role of curriculum in transforming views and breaking down barriers to "co-imagine a curriculum that includes but also learns from Indigenous principles and history, and ... offer[s] students opportunities to experience Indigenous ways of knowing and being" (Harris *et al.*, 2019, p. 20). It implies working together in a good way. This attribute is "intended to ensure social justice underpins all we do – take down the fences and build bridges" (LTRM activity).

Impactful

This attribute addressed various aspects of change leadership across social, environmental, political and organizational contexts. Not surprisingly, "change-making" is a notable theme at a university designated as an Ashoka U Changemaker Campus (https://ashokau.org/

changemakercampus/campuses/); as a result, staff discussed the students' role in the changemaking process, "Our students are change-makers, even at the undergraduate level" (Student Services FG). An alumnus reinforced this by commenting, "the learning came first, supported by shared values and understanding that allowed for me and my classmates to go out and 'do the why'" (Alumni Survey). The applied focus and nature of the RRU programs allows students to use the theory in a practical situation to make a positive difference.

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Reflective

Reflective practice is a critical part of transformational learning. Reflecting on self brings insight and understanding of new ways forward. A student remarked that, "Often I would realize only upon reflection that I was doing/seeing/approaching my experiences differently. The learning strategies facilitated this easily" (Student Survey).

Reflection and implications for practice

Action research aims to address an issue specific to the context in which the research was conducted, so the authors recognize that the findings are not generalizable to other settings without adaptation. However, this article presented insights into an adaptable process that can be applied in other institutions seeking to benefit from practitioners' engagement, insights and knowledge.

This project had several challenges. It took two years to complete, a long time in institutional life. While data gathering took place over three months, the working group's intensive work of analysis, deliberation and building consensus took longer than anticipated. At times, it was difficult to schedule working group meeting times, since the researchers were participating in addition to the demands of their regular work, and, at times, this negatively impacted momentum of the project. Further, not surprisingly, the extensive consultations with multiple stakeholder groups produced a great deal of data. While the working group had anticipated this issue, we felt it was necessary to ensure that all RRU community members had several opportunities to contribute and feel heard. To apply this process elsewhere, project leaders need to consider ways to address this issue, perhaps by freeing up faculty and staff time for the work.

The university had several characteristics that supported this action research project. Faculty and staff had called for the consultative process, which demonstrated that their valuing of, and commitment to, the process. As well, as a small university with inter- and trans-disciplinary programs and an applied research agenda focused on making positive change in the world, the "practice challenge" (Stensaker *et al.*, 2017, p. 10) that arises from the disciplinary variations in conceptions of teaching, learning and research is greatly reduced. Applying the LTRM project's research-in-practice approach (Kemmis, 2012) to larger, more disciplinarily diverse institutional settings would require a strategy for dealing with these disciplinary variations.

This research project demonstrated that fostering practice conversations among diverse university community members can support creating a common and integrated vision of excellent learning, teaching and research practice. The consultative process allowed university community members to explore, discuss and critically reflect on effective learning, teaching and research practices. The numerous interactions amongst community members, opportunities to explore data using an open data approach and provide input on the draft prototype were crucial to creating deep engagement. The action research and design-thinking approaches used allowed for maximum participation and provided a transparent, democratic process for deep engagement in the teaching, learning and research strategy aligned with the culture of the RRU community.

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